

## (Information Disclosure Statement - Section 2. FORM PTO - 1449 (Modified))

☒ Sheet 1 of 4

## Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
P01936US5SERIAL NO.  
cont. of  
08/739,264INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)  
(37 CFR 1.98(b))APPLICANT  
William E. Marshall et alFILING DATE  
June 18, 2001GROUP  
1643
 09/883550  
 06/18/01

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RZ	5,413,785	05/95	NAJI	424	98.45	3/94
	4,314,995	02/82	HATA	424	93	
	4,975,467	12/90	KU ET AL			
	4,849,506	07/89	RANSOM ET AL			
	5,082,657	01/92	RANSOM			
	5,055,447	10/91	PALLADINO ET AL			
	5,041,427	08/91	TAKAYAMA ET AL			
	5,158,939	10/92	TAKAYAMA ET AL			
	5,157,039	10/92	NIELSEN ET AL			
	5,082,838	01/92	NAKA ET AL			
	5,151,498	09/92	BUESCHER ET AL			
	4,347,240	08/82	MUTAI ET AL			
RZ	5,840,318	11/98	MARSHALL			

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO
1,298,556	04/07/92	CANADA			
EP 0 416 892 A1	03/13/91	EUROPEAN PATENT AP			

## OTHER DOCUMENTS (Including Author, Title, Date\*\*, Relevant Pages, Place of Publication\*\*\*)

RZ	Brain, Ch. 14, <u>Handbook of Physiology - The Respiratory System I</u> (1985)
RZ	Kinoshita et al., <u>Int. J. Immunopharmac.</u> 14(2):205-211 (1992)
RZ	Raybourne, et al., <u>Infect. and Immun.</u> 62:665-672 (Feb. 1994)
RZ	Simpson et al., <u>Crit. Care Med.</u> , 19:1060-1066 (1991)
RZ	Perkins et al., <u>Am. J. Resp. Cell Biol.</u> , 4:532 (1991)
RZ	Kato et al., <u>Microbiol. Immunol.</u> 27(7):611-618 (1983)
RZ	Gold et al., <u>Infect. &amp; Immun.</u> 49:731-741 (September 1985)
RZ	Archer et al., <u>U.K. Biochem Soc. Trans.</u> 19:404S (July 1991)
RZ	Kantengwa et al., <u>Infect. &amp; Immun.</u> , 61:1281-1287 (April 1993)
RZ	Agace et al., <u>Infect. &amp; Immun.</u> , 61:602-609 (February 1993)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered.  
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Sheet 2 of 4

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)  
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ATTY. DOCKET NO.

SERIAL NO.  
08/739,264

APPLICANT  
William E. Marshall et al

FILING DATE  
October 29, 1996

GROUP  
1804

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RE	3,953,609	04/76	FARR			
RE	4,314,995	02/82	HATA ET AL			
RE	4,579,734	04/86	HATA ET AL			
RE	3,984,575	10/76	FARR			
RE	3,320,130	05/67	HENRY			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date\*\*, Relevant Pages, Place of Publication\*\*\*)

RE	Popova et al Inf. & Immunopharm, 15(1):25-37 (1993)
RE	Kineshita et al Inf. & Immunopharm, 14(2):205-211 (Feb. 1992)
RE	Perdigon et al J. of Food Protection, 19(12):986-989 (Dec. 1986)
RE	Perdigon et al J. of Dairy Sci., 70(5):919-926 (1986)
RE	Kuwabara et al ... Vet. Sci., 50(3):665-672 (1988)
RE	Perdigon et al Infect. and Immunity, 53(2):404-410 (1986)
RE	Kato et al., Microbiol. Immunol., 27:611-618 (May 1983)
RE	Mitsuoka, J. Indus. Micro., 6:263-268 (1990)
RE	DeSimone et al., Immunopharm. & Immunotox., 14:331-340 (1992)
RE	Perdigon et al., J. Food Protection, 53:404-410 (May 1990)
RE	Perdigon et al., Immunology, 63:17-23 (1988)
RE	Perdigon et al., J. Dairy Res., 57:255-264 (1990)

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	APPLICANT William E. Marshall et al	
	FILING DATE October 29, 1996	GROUP 1804

U.S. PATENT DOCUMENTS

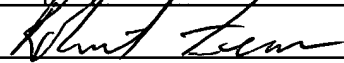
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date\*\*, Relevant Pages, Place of Publication\*\*\*)

RZ		Guencheva et al <u>Inf &amp; Immuno</u> , 14(8):1429-1436 (1992)
RZ		Lidbeck et al <u>Human Health: The Contribution of Microorganisms</u> et. Saw Gibson, Springer Valae, New York, Chap. 6, pp 95-110 (1994)
RZ		Lidbeck et al <u>Scand. To Infect Dis.</u> , 19:531-537 (1987)
RZ		Lidbeck et al <u>J. of Concv. Prev.</u> , 1:341-353 (1992)
RZ		Lessard <u>Can J. Anim. Sci.</u> , 67:509-516 (june 1987)
RZ		Hack et al <u>Infect. &amp; Immun.</u> , 60:2835-2842 (July 1992)
RZ		Perdigon et al., <u>J. Dairy Res.</u> 57:255-264 (1990)
RZ		DeSimone et al., <u>Dietetics in the 90's, Role of the Dietician/Nutritionist</u> , pp. 177-180 (1988)
RZ		Zeigler-Heitbrock, et al., <u>Int. J. Cancer</u> , 41:456-461 (1988)
RZ		<u>Bergy's Manual of Systematic Bacteriology 2</u> , 2:1208-1234, Williams & Wilkins, Baltimore, MD (1986)
RZ		Schleifer, et al., <u>Bacter. Rev.</u> 36:407, Fig. 9 (1972)

EXAMINER 	DATE CONSIDERED 12/9/02
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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**INFORMATION DISCLOSURE**  
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**SERIAL NO.**  
**08/739,264**

William E. Marshall et al

**October 29, 1996**

**GROUP  
1804**

[illegible][illegible]

R2		Dibb et al, <u>Infect. &amp; Immun.</u> 60:3052-3058 (August 1992)
R2		Steinshamn et al., <u>Infect. &amp; Immun.</u> , 60:4003-4008 (October 1992)
R2		Tuomanen et al., <u>J. Infect. Dis.</u> 151:859-868 (May 1985)
R2		Riesenfeld-Orn et al. <u>Infect. &amp; Immun.</u> , 57:1890-1893 (July 1989)
R2		Bhakdi et al., <u>Infect. &amp; Immun.</u> , 59:4614-4620 (December 1991)

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